Numerical approaches to the relativistic two-body problem:

constructing initial data

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Plan

- 1. 3+1 formalism of general relativity
- 2. Solving the constraint equations
 - (a) Conformal transverse traceless method
 - (b) Conformal thin sandwich method
- 3. Compact binaries in circular orbits
 - (a) Effective potential approach
 - (b) Helical Killing vector approach

The 3+1 formalism of general relativity

3+1 formalism

History: Lichnerowicz (1944), Choquet-Bruhat (1952), Arnowitt, Deser & Misner (1962), York & Ó Murchadha (1974), and many others...

Basics: Foliation of spacetime by a family of spacelike hypersurfaces $(\Sigma_t)_{t\in\mathbb{R}}$; on each hypersurface, pick a coordinate system $(x^i)_{i\in\{1,2,3\}}$ $\implies (x^{\mu})_{\mu\in\{0,1,2,3\}} = (t, x^1, x^2, x^3) = \text{coordinate system on spacetime } (t = \text{time coordinate, without any particular physical significance})$



n : future directed unit normal to Σ_t : **n** = $-N \, \mathbf{d}t$, N : lapse function $\mathbf{e}_t = \partial/\partial t$: time vector of the natural basis associated with the coordinates (x^{μ})

 $egin{array}{c} N : \ {\sf lapse function} \ eta \ : \ {\sf shift vector} \end{array} iggl\} {f e}_t = N {f n} + eta$

Geometry of the hypersurfaces Σ_t :

– induced metric $\boldsymbol{\gamma} = \mathbf{g} + \mathbf{n} \otimes \mathbf{n}$

– extrinsic curvature : $\mathbf{K} = -\frac{1}{2} \pounds_{\mathbf{n}} \boldsymbol{\gamma}$

 $g_{\mu\nu} dx^{\mu} dx^{\nu} = -N^2 dt^2 + \gamma_{ij} \left(dx^i + \beta^i dt \right) \left(dx^j + \beta^j dt \right)$

Choice of coordinates and 3+1 formalism

$$(x^{\mu}) = (t, x^{i}) = (t, x^{1}, x^{2}, x^{3})$$

Choice of lapse function $N \iff$ choice of the slicing (Σ_t)

Choice of shift vector $\beta \iff$ choice of spatial coordinates (x^i) in each hypersurface Σ_t (via the choice of \mathbf{e}_t)



A widely chosen foliation : maximal slicing : $K := \operatorname{tr} \mathbf{K} = 0$

3+1 decomposition of Einstein equation

Orthogonal projection of Einstein equation onto Σ_t and along the normal to Σ_t :

- Hamiltonian constraint:
- Momentum constraint :

$$R + K^2 - K_{ij}K^{ij} = 16\pi E$$

$$D_j K^{ij} - D^i K = 8\pi J^i$$

• Dynamical equations : $\frac{\partial K_{ij}}{\partial t} - \pounds_{\beta} K_{ij} = -D_i D_j N + N \left[R_{ij} - 2K_{ik} K^k_{\ j} + K K_{ij} + 4\pi ((S - E)\gamma_{ij} - 2S_{ij}) \right]$

$$E := \mathbf{T}(\mathbf{n}, \mathbf{n}) = T_{\mu\nu} n^{\mu} n^{\nu}, \qquad J_i := -\gamma_i^{\ \mu} T_{\mu\nu} n^{\nu}, \qquad S_{ij} := \gamma_i^{\ \mu} \gamma_j^{\ \nu} T_{\mu\nu}, \qquad S := S_i^{\ i}$$

 D_i : covariant derivative associated with γ , R_{ij} : Ricci tensor of D_i , $R := R_i{}^i$

Kinematical relation between γ and K:

$$\frac{\partial \gamma^{ij}}{\partial t} + D^i \beta^j + D^j \beta^i = 2NK^{ij}$$

Formal. $3+1 \implies$ Resolution of Einstein equation \equiv Cauchy problem [Choquet-Bruhat 1952]

Conformal metric

York (1972) : **Dynamical degrees of freedom** of the gravitational field carried by the conformal "metric"

$$\hat{\gamma}_{ij} := \gamma^{-1/3} \gamma_{ij} \qquad \text{with } \gamma := \det \gamma_{ij}$$

 $\hat{\gamma}_{ij} = {
m tensor} \ {
m density} \ {
m of} \ {
m weight} \ -2/3$

To work with tensor fields only, introduce an *extra structure* on Σ_t : a flat metric **f** such that $\frac{\partial f_{ij}}{\partial t} = 0$ and $\gamma_{ij} \sim f_{ij}$ at spatial infinity (asymptotic flatness)

Define
$$\tilde{\gamma}_{ij} := \Psi^{-4} \gamma_{ij}$$
 or $\gamma_{ij} =: \Psi^4 \tilde{\gamma}_{ij}$ with $\Psi := \left(\frac{\gamma}{f}\right)^{1/12}$, $f := \det f_{ij}$

 $\tilde{\gamma}_{ij}$ is invariant under any conformal transformation of γ_{ij} and verifies $\det \tilde{\gamma}_{ij} = f$

Notations: $\tilde{\gamma}^{ij}$: inverse conformal metric : $\tilde{\gamma}_{ik} \tilde{\gamma}^{kj} = \delta_i^{\ j}$ \tilde{D}_i : covariant derivative associated with $\tilde{\gamma}_{ij}$, $\tilde{D}^i := \tilde{\gamma}^{ij} \tilde{D}_j$ \mathcal{D}_i : covariant derivative associated with f_{ij} , $\mathcal{D}^i := f^{ij} \mathcal{D}_j$

Conformal decomposition

Relation between the Ricci tensor **R** of γ at the Ricci tensor **R** of $\tilde{\gamma}$:

$$R_{ij} = \tilde{R}_{ij} - 2\tilde{D}_i\tilde{D}_j\ln\Psi + 4\tilde{D}_i\ln\Psi\tilde{D}_j\ln\Psi - 2\left(\tilde{D}^k\tilde{D}_k\ln\Psi + 2\tilde{D}_k\ln\Psi\tilde{D}^k\ln\Psi\right)\tilde{\gamma}_{ij}$$

Trace : $R = \Psi^{-4}\left(\tilde{R} - 8\tilde{D}_k\tilde{D}^k\ln\Psi - 8\tilde{D}_k\ln\Psi\tilde{D}^k\ln\Psi\right)$

Conformal representation of the traceless part of the extrinsic curvature:

$$A^{ij} := \Psi^4 \left(K^{ij} - \frac{1}{3} K \gamma^{ij} \right)$$

Indices lowered with the conformal metric: $A_{ij} := \tilde{\gamma}_{ik} \tilde{\gamma}_{jl} A^{kl} = \Psi^{-4} \left(K_{ij} - \frac{1}{3} K \gamma_{ij} \right)$

Conformal decomposition of Einstein equations

Hamiltonian constraint
$$\rightarrow \qquad \tilde{D}_i \tilde{D}^i \Psi = \frac{\Psi}{8} \tilde{R} - \Psi^5 \left(2\pi E + \frac{1}{8} A_{ij} A^{ij} - \frac{K^2}{12} \right)$$

Momentum constraint $\rightarrow \qquad \tilde{D}_j A^{ij} + 6A^{ij} \tilde{D}_j \ln \Psi - \frac{2}{3} \tilde{D}^i K = 8\pi \Psi^4 J^i$
Trace of the evolution equation for $\mathbf{K} \rightarrow$

$$\frac{\partial K}{\partial t} - \beta^i \tilde{D}_i K = -\Psi^{-4} \left(\tilde{D}_i \tilde{D}^i N + 2\tilde{D}_i \ln \Psi \tilde{D}^i N \right) + N \left[4\pi (E+S) + A_{ij} A^{ij} + \frac{K^2}{3} \right],$$

combined with the Hamiltonian constr. ightarrow equation for $\ Q:=\Psi^2 N$:

$$\tilde{D}_{i}\tilde{D}^{i}Q = \Psi^{6}\left[N\left(4\pi S + \frac{3}{4}A_{ij}A^{ij} + \frac{K^{2}}{2}\right) - \frac{\partial K}{\partial t} + \beta^{i}\tilde{D}_{i}K\right] \\ + \Psi^{2}\left[N\left(\frac{1}{4}\tilde{R} + 2\tilde{D}_{i}\ln\Psi\tilde{D}^{i}\ln\Psi\right) + 2\tilde{D}_{i}\ln\Psi\tilde{D}^{i}N\right]$$

Conformal decomposition of Einstein equations (con't)

Traceless part of the evolution equation for $\textbf{K} \rightarrow$

$$\begin{aligned} \frac{\partial A^{ij}}{\partial t} &- \pounds_{\beta} A^{ij} - \frac{2}{3} \tilde{D}_k \beta^k A^{ij} = -\Psi^{-6} \left(\tilde{D}^i \tilde{D}^j Q - \frac{1}{3} \tilde{D}_k \tilde{D}^k Q \, \tilde{\gamma}^{ij} \right) \\ &+ \Psi^{-4} \Biggl\{ N \left(\tilde{\gamma}^{ik} \tilde{\gamma}^{jl} \tilde{R}_{kl} + 8 \tilde{D}^i \ln \Psi \, \tilde{D}^j \ln \Psi \right) + 4 \left(\tilde{D}^i \ln \Psi \, \tilde{D}^j N + \tilde{D}^j \ln \Psi \, \tilde{D}^i N \right) \\ &- \frac{1}{3} \left[N \left(\tilde{R} + 8 \tilde{D}_k \ln \Psi \tilde{D}^k \ln \Psi \right) + 8 \tilde{D}_k \ln \Psi \tilde{D}^k N \right] \, \tilde{\gamma}^{ij} \Biggr\} \\ &+ N \left[K A^{ij} + 2 \tilde{\gamma}_{kl} A^{ik} A^{jl} - 8 \pi \left(\Psi^4 S^{ij} - \frac{1}{3} S \tilde{\gamma}^{ij} \right) \right] \end{aligned}$$

Conformal decomposition of the kinematical relation between γ and κ

Relation between the extrinsic curvature and the time derivative of the metric:

$$\frac{\partial \gamma^{ij}}{\partial t} + D^i \beta^j + D^j \beta^i = 2NK^{ij}$$

• trace part $\rightarrow \frac{\partial \Psi}{\partial t} = \beta^i \tilde{D}_i \Psi + \frac{\Psi}{6} \left(\tilde{D}_i \beta^i - NK \right)$ • traceless part $\rightarrow \frac{\partial \tilde{\gamma}^{ij}}{\partial t} = 2NA^{ij} - (\tilde{L}\beta)^{ij}$

with the conformal Killing operator acting on the shift vector being defined as $(\tilde{L}\beta)^{ij} := \tilde{D}^{j}\beta^{i} + \tilde{D}^{i}\beta^{j} - \frac{2}{3}\tilde{D}_{k}\beta^{k}\,\tilde{\gamma}^{ij}$

Solving the constraint equations

General remarks

Solving the constraint equations \implies get initial data (γ, \mathbf{K}) for the Cauchy problem of the 3+1 formalism

- Hamiltonian constraint: quasilinear elliptic equation for the conformal factor Ψ
- Momentum constraint: fix the divergence of A^{ij} (with respect to D)

Basic property: the constraint equations are preserved by the evolution equations Consequently one may choose between

- a free evolution schemes (constraint equations used only to check the numerical solution)
- a constrained evolution schemes (solve the constraint equations at each step)

cf. T. Baumgarte's talk

Methods to solve the constraint equations

- Conformal transverse-traceless method (York & Ó Murchadha) [this talk]
- Conformal thin sandwich (York) [this talk]
- Gluing techniques (Isenberg, Mazzeo, Pollack, Corvino, Schoen)
- Quasi-spherical (Bartnik, Sharples)

2.1

The conformal transverse-traceless method

The conformal transverse-traceless (CTT) method

Origin: York (1979), variant of Ó Murchadha & York (1974)

Split K^{ij} into a traceless part $K_{
m T}^{ij}$ and a trace part : $K^{ij} = K_{
m T}^{ij} + \frac{K}{3}\gamma^{ij}$

Motivated by the identity $D_j K_T^{ij} = \Psi^{-10} \tilde{D}_j (\Psi^{10} K_T^{ij})$, introduce a conformal traceless extrinsic curvature \tilde{A}^{ij} by $K_T^{ij} =: \Psi^{-10} \tilde{A}^{ij}$ NB: $\tilde{A}^{ij} = \Psi^6 A^{ij}$

Split \tilde{A}^{ij} into a longitudinal and transverse part

:
$$\tilde{A}^{ij} = (\tilde{L}X)^{ij} + \tilde{A}^{ij}_{\mathrm{TT}}$$

with $(\tilde{L}X)^{ij} := \tilde{D}^j X^i + \tilde{D}^i X^j - \frac{2}{3} \tilde{D}_k X^k \tilde{\gamma}^{ij}$ (conformal Killing operator) and $\tilde{D}_j \tilde{A}_{TT}^{ij} = 0$ (transversality with respect to $\tilde{\gamma}$)

Finally:
$$K^{ij} = \Psi^{-10} \left[(\tilde{L}X)^{ij} + \tilde{A}^{ij}_{TT} \right] + \frac{K}{3} \gamma^{ij}$$

Constraint equations in the CTT framework

Hamiltonian constraint \searrow (Lichnerowicz equation)

$$\tilde{D}_{i}\tilde{D}^{i}\Psi = \frac{\Psi}{8}\tilde{R} - \Psi^{5}\left(2\pi E - \frac{K^{2}}{12}\right) - \frac{1}{8}\tilde{A}_{ij}\tilde{A}^{ij}\Psi^{-7}$$

Momentum constraint 📐

$$\tilde{D}_{k}\tilde{D}^{k}X^{i} + \frac{1}{3}\tilde{D}^{i}\tilde{D}_{k}X^{k} + \tilde{R}^{i}{}_{j}X^{j} = 8\pi\Psi^{10}J^{i} + \frac{2}{3}\Psi^{6}\tilde{D}^{i}K$$

Freely specifiable data: $(\tilde{\gamma}_{ij}, K, \tilde{A}^{ij}_{TT})$ and (E, J^i) , with

- $\tilde{\gamma}_{ij}$ symmetric, positive definite
- \tilde{A}_{TT}^{ij} symmetric, transverse and traceless with respect to $\tilde{\gamma}_{ij}$

Procedure: solve (1) and (2) to get Ψ and X^i ; the valid initial data is then $\gamma_{ij} = \Psi^4 \tilde{\gamma}_{ij}$ and $K^{ij} = \Psi^{-10} \left[(\tilde{L}X)^{ij} + \tilde{A}_{TT}^{ij} \right] + \frac{K}{3} \gamma^{ij}$

(1)

(2)

Remarks about the CTT constraint equations

- The Hamiltonian constraint (1) is a quasilinear elliptic equation for Ψ
- The momentum constraint (2) is a linear vector elliptic equation for X^i
- If one chooses maximal slicing, K = 0 and (2) becomes independent from Ψ :

$$\tilde{D}_k \tilde{D}^k X^i + \frac{1}{3} \tilde{D}^i \tilde{D}_k X^k + \tilde{R}^i_{\ j} X^j = 8\pi \tilde{J}^i$$

(provided one selects $\tilde{J}^i := \Psi^{10} J^i$ as the matter freely specifiable data)

Boundary conditions

Topology of the initial data manifold Σ_0 :

- for neutron star spacetimes: $\Sigma_0 \sim \mathbb{R}^3$
- for black hole spacetimes: $\Sigma_0 \sim \mathbb{R}^3 \setminus \text{some balls}$ (half of Misner-Lindquist topology) or $\Sigma_0 \sim \mathbb{R}^3 \setminus \text{some points (punctures)}$ (Brill-Linquist topology)

Example: Misner-Lindquist topology for two black holes:



Constraint equations (1) and (2) = *elliptic* equations \implies **boundaries conditions** have to be supplied at the inner boundaries and outer boundary (spatial infinity) of Σ_0 to yield a unique solution J

At spatial infinity :

At some inner sphere S:

$$\begin{split} \Psi|_{r\to\infty} &= 1 \ \text{and} \ X^i|_{r\to\infty} = 0 \\ (\text{asymptotic flatness for } \tilde{\gamma}_{ij} \underset{r\to\infty}{\sim} f_{ij}) \\ \text{for example, } \Psi \text{ such that } \mathcal{S} &= \text{apparent horizon} \end{split}$$

Global quantities as surface integrals at spatial infinity

Asymptotic flatness for $r \to \infty$ (Cartesian components):

- $\gamma_{ij} = f_{ij} + O(r^{-1}) \iff \Psi = 1 \text{ and } \tilde{\gamma}_{ij} = f_{ij} + O(r^{-1}) \quad (\text{NB: } f^{ij}\tilde{\gamma}_{ij} = 1 + O(r^{-2}))$
- $\mathcal{D}_k \gamma_{ij} = O(r^{-2}) \iff \mathcal{D}_k \Psi = O(r^{-2}) \text{ and } \mathcal{D}_k \tilde{\gamma}_{ij} = O(r^{-2}) \text{ (no grav. wave at spatial inf.)}$ • $K^{ij} = O(r^{-2})$

 \circ quasi-isotropic gauge : additional condition: $\mathcal{D}^{j}\tilde{\gamma}_{ij} = O(r^{-3})$ [York 1979]

• ADM mass : $M_{\text{ADM}} = \frac{1}{16\pi} \oint_{\infty} \left(\mathcal{D}^{j} \gamma_{ij} - f^{jk} \mathcal{D}_{i} \gamma_{jk} \right) dS^{i}$

* in the quasi-isotropic gauge: $M_{\rm ADM} = -\frac{1}{2\pi} \oint_{-\infty} \mathcal{D}_i \Psi \, dS^i$ (function of Ψ only)

• ADM linear momentum : P_{ADM}^i , projections along three independent translational Killing vectors of **f**, $\boldsymbol{\xi}_{(i)}$:

$$P_{j_{\text{ADM}}}\xi_{(i)}^{j} = \frac{1}{8\pi} \oint_{\infty} (K_{jk} - Kf_{jk}) \xi_{(i)}^{j} dS^{k}$$

• Angular momentum : defined only within the quasi-isotropic gauge : projections along three independent rotational Killing vectors of **f**, $\eta_{(i)}$:

$$J_{j} \xi_{(i)}^{j} = \frac{1}{8\pi} \oint_{\infty} (K_{jk} - Kf_{jk}) \eta_{(i)}^{j} dS^{k}$$

Conformally flat initial data

As a part of the freely specifiable data, choose $\tilde{\gamma}_{ij} = f_{ij}$ (flat metric)

Consequently $\tilde{D}_i = \mathcal{D}_i$ and $\tilde{R}_{ij} = 0$

Choose also K = 0 (maximal slicing)

Then the Hamiltonian constraint (1) becomes

$$\Delta \Psi = -2\pi \Psi^5 E - \frac{1}{8}\tilde{A}_{ij}\tilde{A}^{ij}\Psi^{-7}$$

and the momentum constraint (2) reduces to

$$\Delta X^i + \frac{1}{3}\mathcal{D}^i\mathcal{D}_k X^k = 8\pi \tilde{J}^i$$

where $\Delta := f^{ij} \mathcal{D}_i \mathcal{D}_j$ is the flat space Laplacian

The Bowen-York solution

In addition to $\tilde{\gamma}_{ij} = f_{ij}$ and K = 0, choose E = 0 and $J^i = 0$ (vacuum spacetime), as well as $\tilde{A}_{TT}^{ij} = 0$.

Then

Hamiltonian constraint
$$\Rightarrow \quad \Delta \Psi = -\frac{\Psi^{-7}}{8} \tilde{A}_{ij} \tilde{A}^{ij}$$
 (3)

Momentum constraint
$$\Rightarrow \quad \Delta X^i + \frac{1}{3} \mathcal{D}^i \mathcal{D}_k X^k = 0$$
 (4)

Bowen-York analytical solution of (4) [Bowen & York, PRD 21, 2047 (1980)] :

For a single black hole :
$$X^i_{BY_0} = -\frac{1}{4r} \left(7P^i + P_j \frac{x^j x^i}{r^2} \right) - \frac{1}{r^3} \epsilon^i {}_{jk} S^j x^k$$

with $x^i = (x, y, z)$, $r^2 := x^2 + y^2 + z^2$

Two constant vector parameters : $\begin{cases} P^i = \text{ADM linear momentum} \\ S^i = \text{angular momentum} \end{cases}$

The Bowen-York solution (con't)

Example: choose S^i perpendicular to P^i and choose Cartesian coordinate system (x, y, z) such that $P^i = (0, P, 0)$ and $S^i = (0, 0, S)$. Then

$$X_{\rm BY_0}^x = -\frac{P}{4}\frac{xy}{r^3} + S\frac{y}{r^3}$$
$$X_{\rm BY_0}^y = -\frac{P}{4r}\left(7 + \frac{y^2}{r^2}\right) - S\frac{x}{r^3}$$
$$X_{\rm BY_0}^z = -\frac{P}{4}\frac{xz}{r^3}$$

Bowen-Tork extrinsic curvature: $\tilde{A}^{ij}_{BY_0} = (\bar{L}X_{BY_0})^{ij}$ $\tilde{A}^{ij}_{BY_0} = \frac{3}{2r^3} \left[P^i x^j + P^j x^i - \left(\delta^{ij} - \frac{x^i x^j}{r^2} \right) P^k x_k \right] + \frac{3}{r^5} \left(\epsilon^i_{kl} S^k x^l x^j + \epsilon^j_{kl} S^k x^l x^i \right)$

There remains to solve (numerically) the non-linear elliptic equation (3) to get Ψ .

Static Bowen-York solution = Schwarzschild solution

Static case: $P^i = 0$ and $S^i = 0$

 $\implies X^i = 0$ and $\tilde{A}^{ij} = 0$

Hamiltonian constraint (3) $\rightarrow \Delta \Psi = 0$

Non trivial spherically symmetric solution : $\Psi = 1 + \frac{M}{2r}$

Hence one recovers **Schwarzschild solution in isotropic coordinates**:

$$\gamma_{ij} = \left(1 + \frac{M}{2r}\right)^4 f_{ij}$$

Non-conformally flat initial data

There does not exist any conformally flat axisymmetric slice of Kerr spacetime [Garat & Price, PRD **61**, 124011 (2000)]

Non flat conformal metric: Matzner, Huq & Shoemaker (1998) [PRD 59, 024015], Marronetti & Matzner (2000) [PRL 85, 5500] : linear combination of Kerr-shild metrics:

 $\tilde{\boldsymbol{\gamma}} = \mathbf{f} + 2B_1H_1\,\boldsymbol{\ell}_1 \otimes \boldsymbol{\ell}_1 + 2B_2H_2\,\boldsymbol{\ell}_2 \otimes \boldsymbol{\ell}_2$

with ℓ_i : null vector of a single Kerr-Schild metric $H_i = \frac{M_i r_i}{r_i^2 + a_i^2 \cos^2 \theta_i}$ B_i : attenuation functions

2.2

The conformal thin sandwich method

The conformal thin sandwich (CTS) method

Origin: York (1999) [PRL 82, 1350], Pfeiffer & York (2003), [PRD 67, 044022]

Use the same conformal decomposition of the extrinsic curvature as in the 3+1 evolution equations:

$$K^{ij} = \Psi^{-4}A^{ij} + \frac{1}{3}K\gamma^{ij}$$

and rewrite the traceless kinematical relation between γ and ${\bf K}$ as

$$\begin{split} A^{ij} &= \frac{1}{2N} \left[(\tilde{L}\beta)^{ij} + \tilde{u}^{ij} \right] \\ \text{with} \quad \tilde{u}^{ij} := \frac{\partial \tilde{\gamma}^{ij}}{\partial t} \end{split}$$

 $\tilde{u}^{ij} =$ freely specifiable data (conformal thin sandwich), instead of \tilde{A}_{TT}^{ij} in the CTT formulation.

Equations in the CTS framework

Hamiltonian constraint \searrow

$$\tilde{D}_{i}\tilde{D}^{i}\Psi = \frac{\Psi}{8}\tilde{R} - \Psi^{5}\left(2\pi E + \frac{1}{8}A_{ij}A^{ij} - \frac{K^{2}}{12}\right)$$
(5)

Momentum constraint \searrow

$$\tilde{D}_{k}\tilde{D}^{k}\beta^{i} + \frac{1}{3}\tilde{D}^{i}\tilde{D}_{k}\beta^{k} + \tilde{R}^{i}{}_{j}\beta^{j} - (\tilde{L}\beta)^{ij}\tilde{D}_{j}\ln(N\Psi^{-6}) = 2N\left(8\pi\Psi^{4}J^{i} + \frac{2}{3}\tilde{D}^{i}K\right) - \tilde{D}_{j}\tilde{u}^{ij} + \tilde{u}^{ij}\tilde{D}_{j}\ln(N\Psi^{-6})$$
(6)

Trace of the evolution equation for $\mathbf{K} \searrow (\dot{K} := \partial K / \partial t)$

$$\tilde{D}_i\tilde{D}^iN + 2\tilde{D}_i\ln\Psi\tilde{D}^iN = \Psi^4\left\{N\left[4\pi(E+S) + A_{ij}A^{ij} + \frac{K^2}{3}\right] + \beta^i\tilde{D}_iK - \dot{K}\right\}$$
(7)

Freely specifiable data: $(\tilde{\gamma}_{ij}, \tilde{u}^{ij} = \dot{\tilde{\gamma}}^{ij}, K, \dot{K})$ and (E, J^i)

Equations in the CTS framework (con't)

Freely specifiable data: $(\tilde{\gamma}_{ij}, \tilde{u}^{ij} = \dot{\tilde{\gamma}}^{ij}, K, \dot{K})$ and (E, J^i) with

- $\tilde{\gamma}_{ij}$ symmetric, positive definite
- \tilde{u}^{ij} symmetric and traceless with respect to $\tilde{\gamma}_{ij}$

Procedure: solve (5), (6) and (7) to get Ψ , β^i and N; the valid initial data is then

$$\gamma_{ij} = \Psi^4 \tilde{\gamma}_{ij} \text{ and } K^{ij} = \frac{\Psi^{-4}}{2N} \left[(\tilde{L}\beta)^{ij} + \tilde{u}^{ij} \right] + \frac{K}{3} \gamma^{ij}$$

Comparing CTT and CFS

- CTT : choose some transverse traceless part \tilde{A}_{TT}^{ij} of the extrinsic curvature K^{ij} , i.e. some momentum $^1 \implies \mathsf{CTT} = \mathsf{Hamiltonian representation}$
- CTS : choose some time derivative \tilde{u}^{ij} of the conformal metric $\tilde{\gamma}^{ij}$, i.e. some velocity \implies CTS = Lagrangian representation

Advantage of CTT : mathematical theory well developed (at least for constant mean curvature (K = const) slices)

Advantage of CTS : better suited to the description of quasi-stationary spacetimes (\rightarrow quasiequilibrium initial data) :

 $\frac{\partial}{\partial t}$ Killing vector $\Rightarrow u^{ij} = 0$

¹recall the relation $\pi^{ij} = \sqrt{\gamma} (K \gamma^{ij} - K^{ij})$ between K^{ij} and the ADM canonical momentum

Numerical comparison of CTT and CFS for binary balck holes

[Pfeiffer, Cook & Teukolsky, PRD **66**, 024047 (2002)]

Settings:

- Initial slice $\Sigma_0 = \mathbb{R}^3 \setminus \mathsf{two}$ balls
- Choice of freely specifiable pieces:
 - \star $\tilde{\gamma}$ = superposition of two boosted Kerr-Schild metrics
 - $\star K = K_1^{\mathrm{KS}} + K_2^{\mathrm{KS}}$
 - * for CTT : \tilde{A}_{TT}^{ij} from a linear superposition of two Kerr-Schild extrinsic curvatures ²
 - \star for CFS : $\tilde{u}^{ij} = 0$
- Fix the total angular momentum and the proper separation between the two apparent horizons

Results:

- significant differences (5%) in the ADM mass among the two methods
- choice of the freely speciable part of the extrinsic curvature more important than the choice of the conformal metric (even if a flat $\tilde{\gamma}$ is chosen)

²Such computations have also been performed recently by [Bonning et al., gr-qc/0305071]

Compact binaries in circular orbits

Astrophysically relevant initial data

Position of the problem: Among all the possible solutions $(\Sigma_0, \gamma, \mathbf{K})$ of the constraint equations, how to pick those which correspond to a binary system in a nearly circular orbit ?



Basically two approaches have been employed in numerical studies:

- the effective potential approach, based on CTT [binary black holes]
- the helical Killing vector approach, based of CTS [binary black holes, binary neutron stars]

3.1

The Effective Potential approach

The Effective Potential approach (Cook 1994)

Procedure to get a quasiequilibrium configuration of binary black hole in circular orbit:

- Solve only for the vacuum constraint equations on a spacelike 3-dimensional surface Σ_0 with a non-trivial topology (for instance the Misner-Lindquist topology or the Brill-Lindquist topology)
- Define the binding energy by $E = M_{\rm ADM} M_1 M_2$
- Define a circular orbit as an extremum of E with respect to proper separation l at fixed angular momentum and BH individual mass:

$$\left. \frac{\partial E}{\partial l} \right|_{M_1, M_2, J} = 0$$

• Compute the orbital angular velocity as $\Omega = \frac{\partial E}{\partial J}\Big|_{M_1, M_2, l}$

Ambiguities of the effective potential approach

• Contrary to the ADM mass, the individual masses M_1 and M_2 of each black hole are ill-defined quantities in GR. Cook ansatz [PRD 50, 5025 (1994)] : define the individual mass M_i from the apparent

horizon area A_i and individual spin and via the Christodoulou formula:

$$M_i^2 := \frac{\mathcal{A}_i}{16\pi} + \frac{4\pi S_i^2}{\mathcal{A}_i}$$

Caveat 1: Christodoulou formula only established for a single stationary black hole (Kerr spacetime) **Caveat 2:** moreover with A_i the area of the event horizon, not the apparent one

Caveat 3: The individual spin S_i suffers from the same lack of unambiguous definition as the individual mass

• No rigorous fundations for the effective potential formulas

Numerical implementations of the effective potential approach

All based on CTT with (i) conformally flat metric and (ii) Bowen-York extrinsic curvature:

$$K^{ij} = \Psi^{-10} \left[\tilde{A}^{ij}_{\rm BY_0}(\boldsymbol{P}_1, \boldsymbol{S}_1, x^i \to x^i_1) + \tilde{A}^{ij}_{\rm BY_0}(\boldsymbol{P}_2, \boldsymbol{S}_2, x^i \to x^i_2) \right]$$

- Cook 1994 [PRD 50, 5025 (1994)] : *Misner-Lindquist topology*
- Pfeiffer, Teukolsky & Cook 2000 [PRD 62, 104018 (2000)] : idem







Discrepancy between Effective Potential + Bowen York and post-Newtonian results

Binding energy along an evolutionary sequence of equal-mass binary black holes:



Post-Newtonian computations : at the 3-PN level:

- Damour, Jaranowski & Schäfer 2000 [PRD 62, 084011 (2000)] : Effective One Body approach (EOB)
- Blanchet 2002 [PRD 65, 124009 (2002)] : Non-resummed Taylor expansion

3.2

The helical Killing vector approach

Binary systems in quasiequilibrium

Problem treated: Binary black holes or neutron stars in the pre-coalescence stage ⇒ the notion of orbit has still some meaning
Basic idea: Construct an approximate, but full spacetime (i.e. 4-dimensional)
representing 2 orbiting compact objects. Previous numerical treatments: 3-dimensional
(initial value problem on a spacelike 3-surface) 4-dimensional approach ⇒ rigorous
definition of orbital angular velocity

- Binary NS :
 - ★ Corotating stars : [Baumgarte et al., PRL 79, 1182 (1997)], [Baumgarte et al., PRD 57, 7299 (1998)], [Marronetti, Mathews & Wilson, PRD 58, 107503 (1998)]
 - irrotational stars : [Bonazzola, Gourgoulhon & Marck, PRL 82, 892 (1999)], [Gourgoulhon et al., PRD 63, 064029 (2001)], [Marronetti, Mathews & Wilson, PRD 60, 087301 (2000)], [Uryu & Eriguchi, PRD 61, 124023 (2000)], [Uryu & Eriguchi, PRD 62, 104015 (2000)], [Taniguchi & Gourgoulhon, PRD 66, 104019 (2002)], [Taniguchi & Gourgoulhon, submitted (2003)]
 - * arbitrary spins : [Marronetti & Shapiro, gr-qc/0306075]
- Binary BH :
 - ★ corotating BH : [Gourgoulhon, Grandclément & Bonazzola, PRD 65, 044020 (2002)], [Grandclément, Gourgoulhon & Bonazzola, PRD 65, 044021 (2002)],
 - * arbitrary spin : [Cook, PRD 65, 084003 (2002)]

Helical symmetry

Physical assumption: when the two objects are sufficiently far apart, the radiation reaction can be neglected \Rightarrow closed orbits Gravitational radiation reaction circularizes the orbits \Rightarrow circular orbits

Geometrical translation: spacetime possesses some helical symmetry



Helical Killing vector $\boldsymbol{\ell}$:

(i) timelike near the system,

(ii) spacelike far from it, but such that \exists a smaller T > 0 such that the separation between any point P and and its image $\chi_T(P)$ under the symmetry group is timelike [Bonazzola, Gourgoulhon & Marck, PRD **56**, 7740 (1997)] [Friedman, Uryu & Shibata, PRD **65**, 064035 (2002)]

Helical symmetry: discussion

Helical symmetry is exact

- in Newtonian gravity and in 2nd order Post-Newtonian gravity
- in general relativity for a non-axisymmetric system (binary) only with standing gravitational waves

But a spacetime with a helical Killing vector and standing gravitational waves cannot be asymptotically flat in full GR [Gibbons & Stewart 1983].

We have used a truncated version of GR (the Isenberg-Wilson-Mathews approximation, which will be described below) which (i) admits the helical Killing vector and (ii) is asymptotically flat.

Helical symmetry and conformal thin sandwich

Choose coordinates (t, x^i) adapted to the helical Killing vector: $\frac{\partial}{\partial t} = \ell$.

 \implies the "velocity" part of the freely specifiable data of the CTS approach are fully determined:

$$\tilde{u}^{ij} = \frac{\partial \tilde{\gamma}^{ij}}{\partial t} = 0$$
 and $\dot{K} = \frac{\partial K}{\partial t} = 0$

Remaining free specifiable data: choose

- $\tilde{\gamma}_{ij} = f_{ij}$ (conformal flatness)
- K = 0 (maximal slicing)

Helical symmetry and conformal thin sandwich (con't)

CTS equations for
$$\tilde{\gamma}_{ij} = f_{ij}$$
 and $K = 0$:

$$\Delta \Psi = -\Psi^5 \left(2\pi E + \frac{1}{8} A_{ij} A^{ij} \right)$$

$$\Delta \beta^{i} + \frac{1}{3} \mathcal{D}^{i} \mathcal{D}_{k} \beta^{k} = 16\pi N \Psi^{4} J^{i} + (\bar{L}\beta)^{ij} \mathcal{D}_{j} \ln(N \Psi^{-6})$$

$$\Delta N = N\Psi^4 \left[4\pi (E+S) + A_{ij}A^{ij} \right] - 2\mathcal{D}_i \ln \Psi \mathcal{D}^i N$$

where

- \mathcal{D}_i is the covariant derivative associated with the flat metric **f**
- $\Delta := f^{ij} \mathcal{D}_i \mathcal{D}_j$ is the flat Laplacian $(\bar{L}\beta)^{ij} := \mathcal{D}^i \beta^j + \mathcal{D}^j \beta^i \frac{2}{3} \mathcal{D}_k \beta^k f^{ij}$
- $A^{ij} = \frac{1}{2N} (\bar{L}\beta)^{ij}$

Helical symmetry and IWM approximation

Isenberg-Wilson-Mathews approximation: waveless approximation to General Relativity based on a conformally flat spatial metric: $\gamma = \Psi^4 f$ [Isenberg (1978)], [Wilson & Mathews (1989)]

 \Rightarrow spacetime metric : $ds^2 = -N^2 dt^2 + \Psi^4 f_{ij} (dx^i + \beta^i dt) (dx^j + \beta^j dt)$

Amounts to solve only 5 of the 10 Einstein equations:

- Hamiltonian constraint
- momentum constraint (3 equations)
- trace of the evolution equation for the extrinsic curvature

Within the helical symmetry, the IWM equations reduce to the CTS equations

Remaining (non CTS) equation: trace part of the kinematical relation between γ and **K** with $\frac{\partial \Psi}{\partial t} = 0$:

$$\mathcal{D}_i\beta^i = -6\beta^i \mathcal{D}_i \ln \Psi$$

Spacetime manifold

Topology : for binary NS : \mathbb{R}^4 for binary BH : $\mathbb{R} \times \text{Misner-Lindquist}$



Canonical mapping: $I: (t, r_1, \theta_1, \varphi_1) \mapsto \left(t, \frac{a_1^2}{r_1}, \theta_1, \varphi_1\right)$ isometry

Fluid equation of motion

Neutron star fluid = perfect fluid : $\mathbf{T} = (e + p)\mathbf{u} \otimes \mathbf{u} + p\mathbf{g}$.

Carter-Lichnerowicz equation of motion for zero-temperature fluids:

$$\nabla \cdot \mathbf{T} = 0 \iff \begin{cases} \mathbf{u} \cdot \mathbf{dw} = 0 & (1) \\ \nabla \cdot (n\mathbf{u}) = 0 & (2) \end{cases} \qquad \begin{array}{c} \mathbf{w} := h\mathbf{u} \\ \mathbf{w} := h\mathbf{u} \\ \mathbf{w} : \text{co-momentum 1-form} \\ \mathbf{dw} : \text{vorticity 2-form} \end{cases}$$

with n = baryon number density and $h = (e + p)/(m_B n)$ specific enthalpy.

Cartan identity : Killing vector $\boldsymbol{\ell} \implies \boldsymbol{\pounds}_{\boldsymbol{\ell}} \mathbf{w} = 0 = \boldsymbol{\ell} \cdot \mathbf{dw} + \mathbf{d}(\boldsymbol{\ell} \cdot \mathbf{w})$ (3)

Two cases with a first integral : $\ell \cdot \mathbf{w} = \text{const}$ (4)

- Rigid motion: $\mathbf{u} = \lambda \boldsymbol{\ell}$: (3) + (1) \Leftrightarrow (4); (2) automatically satisfied
- Irrotational motion: $\mathbf{dw} = 0 \Leftrightarrow \mathbf{w} = \nabla \Psi$: (3) \Leftrightarrow (4); (1) automatically satisfied (2) $\Leftrightarrow \frac{n}{h} \nabla \cdot \nabla \Psi + \nabla \left(\frac{n}{h}\right) \cdot \nabla \Psi = 0$

Astrophysical relevance of the two rotation states

- Rigid motion (synchronized binaries) (also called corotating binaries) : the viscosity of neutron star matter is far too low to ensure synchronization of the stellar spins with the orbital motion [Kochanek, ApJ 398, 234 (1992)], [Bildsten & Cutler, ApJ 400, 175 (1992)]

 — unrealistic state of rotation
- Irrotational motion: good approximation for neutron stars which are not initially millisecond rotators, because then $\Omega_{spin} \ll \Omega_{orb}$ at the late stages.

Rotation state in the binary BH case

Choice: rotation synchronized with the orbital motion (corotating system)

- **Justifications:** the only rotation state fully compatible with the helical symmetry [Friedman, Uryu & Shibata, PRD **65**, 064035 (2002)]
 - for close systems, black hole "effective viscosity" might be very efficient in synchronizing the spins with the orbital motion
 [e.g. Price & Whelan, PRL 87, 231101 (2001)]

Geometrical translation: the two horizons are **Killing horizons** associated with *l*:

$$|\boldsymbol{\ell} \cdot \boldsymbol{\ell}|_{\mathcal{H}_1} = 0$$
 and $|\boldsymbol{\ell} \cdot \boldsymbol{\ell}|_{\mathcal{H}_2} = 0$.

[cf. the rigidity theorem for a Kerr black hole]

Boundary conditions



Additional equations in the fluid case (binary NS)

Baryon number conservation for irrotational flows:

 $n\underline{\Delta}\Psi + \overline{\nabla}_i n \,\overline{\nabla}^i \Psi = \cdots$

 \rightarrow singular (n = 0 at the stellar surface) elliptic equation to be solved for Ψ .

First integral of fluid motion $\boldsymbol{\ell} \cdot \mathbf{w} = \text{const}$ writes $hN \frac{\Gamma}{\Gamma_0} = \text{const}$ (5)

- with Γ : Lorentz factor between fluid co-moving observer and co-orbiting observer (= 1 for synchronized binaries)
 - Γ_0 : Lorentz factor between co-orbiting observer and asymptotically inertial observer

 \rightarrow solve (5) for the specific enthalpy *h*.

From h compute the fluid proper energy density e, pressure p and baryon number n via an equation of state:

$$e = e(h), \qquad p = p(h), \qquad n = n(h)$$

Determination of Ω : **NS case**

First integral of fluid motion:

$$hN\frac{\Gamma}{\Gamma_0} = \text{const}$$

The Lorentz factor Γ_0 contains Ω : at the Newtonian limit, $\ln \Gamma_0$ is nothing but the centrifugal potential: $\ln \Gamma_0 \sim \frac{1}{2} (\mathbf{\Omega} \times \mathbf{r})^2$.

At each step of the iterative procedure, Ω and the location of the rotation axis are then determined so that the stellar centers (density maxima) remain at fixed coordinate distance from each other.

Determination of Ω : **BH case**

Virial assumption: $O(r^{-1})$ part of the metric $(r \to \infty)$ same as Schwarzschild

[The only quantity "felt" at the ${\cal O}(r^{-1})$ level by a distant observer is the total mass of the system.]

A priori

Hence

Note

$$\Psi \sim 1 + \frac{M_{ADM}}{2r}$$
 and $N \sim 1 - \frac{M_{K}}{r}$
(virial assumption) $\iff M_{ADM} = M_{K}$
(virial assumption) $\iff \Psi^2 N \sim 1 + \frac{\alpha}{r^2}$

Link with the classical virial theorem

Einstein equations \Rightarrow

 $\underline{\Delta}\ln(\Psi^2 N) = \Psi^4 \left[4\pi S_i^{\ i} + \frac{3}{4}\hat{A}_{ij}\hat{A}^{ij} \right] - \frac{1}{2} \left[\bar{\nabla}_i \ln N \bar{\nabla}^i \ln N + \bar{\nabla}_i \ln(\Psi^2 N) \bar{\nabla}^i \ln(\Psi^2 N) \right]$

No monopolar 1/r term in $\Psi^2 N \iff$

$$\int_{\Sigma_t} \left\{ 4\pi S_i{}^i + \frac{3}{4} \hat{A}_{ij} \hat{A}^{ij} - \frac{\Psi^{-4}}{2} \left[\bar{\nabla}_i \ln N \bar{\nabla}^i \ln N + \bar{\nabla}_i \ln(\Psi^2 N) \bar{\nabla}^i \ln(\Psi^2 N) \right] \right\} \Psi^4 \sqrt{f} \, d^3 x = 0$$

Newtonian limit is the classical virial theorem:

$$2E_{\rm kin} + 3P + E_{\rm grav} = 0$$

Defining an evolutionary sequence: BH case

An evolutionary sequence is defined by:

$$\left. \frac{dM_{\rm ADM}}{dJ} \right|_{\rm sequence} = \Omega$$

This is equivalent to requiring the constancy of the horizon area of each black hole, by virtue of the First law of thermodynamics for binary black holes :

$$dM_{\rm ADM} = \Omega \, dJ + \frac{1}{8\pi} \left(\kappa_1 \, dA_1 + \kappa_2 \, dA_2 \right)$$

recently established by Friedman, Uryu & Shibata [PRD 65, 064035 (2002)].

Note: Within the helical symmetry framework, a minimum in M_{ADM} along a sequence at fixed horizon area locates a change of orbital stability (ISCO) [Friedman, Uryu & Shibata, PRD **65**, 064035 (2002)].

An overview of the numerical techniques employed in Meudon

- Multidomain three-dimensional spectral method
- Spherical-type coordinates (r, θ, φ)
- Expansion functions: r : Chebyshev; θ : cosine/sine or associated Legendre functions;
 φ : Fourier
- Domains = spherical shells + 1 nucleus (contains r = 0)
- Entire space (\mathbb{R}^3) covered: compactification of the outermost shell
- Adaptative coordinates : domain decomposition with spherical topology
- Multidomain PDEs: patching method (strong formulation)
- Numerical implementation: C++ codes based on LORENE

Domain decomposition







Double domain decomposition

[Taniguchi, Gourgoulhon & Bonazzola, Phys. Rev. D 64, 064012 (2001)]

Surface fitted coordinates: $F_0(\theta, \varphi)$ and $G_0(\theta, \varphi)$ chosen so that $\xi = 1 \Leftrightarrow$ surface of the star

Test for binary BH : conservation of the horizon area along a sequence



Relative change of the horizon area along an evolutionary sequence



Check of the determination of Ω at large separation.

ISCO configuration



[Grandclément, Gourgoulhon, Bonazzola, PRD 65, 044021 (2002)]

ISCO configuration



[Grandclément, Gourgoulhon, Bonazzola, PRD 65, 044021 (2002)]

Comparison with Post-Newtonian computations

Binding energy along an evolutionary sequence of equal-mass binary black holes



[Damour, Gourgoulhon, Grandclément, PRD 66, 024007 (2002)]

Location of the ISCO



[Damour, Gourgoulhon, Grandclément, PRD 66, 024007 (2002)]

Results for binary NS

Baryon density (y=0)



Isocontour of baryon density for an irrotational binary system constructed upon a polytropic EOS with $\gamma = 2$. The compactness of the left star is M/R = 0.14 and that of the right star is M/R = 0.16

[Taniguchi & Gourgoulhon, PRD 66, 104019 (2002)]

Comparing binary NS and binary BH sequences



[Taniguchi & Gourgoulhon, submitted (2003)]

Source of the discrepancy between CTT+BY+EP and CTS+HKV

- **CTT+BY+EP** = Conformal Transverse Traceless decomposition of the constraints + Bowen-York extrinsic curvature + Effective Potential determination of the orbits
- **CTS+HKV** = Conformal Thin Sandwich decomposition of the constraints + Helical Killing Vector
- **Recall** : both CTT+BY+EP and CTS+HKV methods employ a conformally flat 3-metric, so this cannot be the reason why CTT+BY+EP is far from post-Newtonian results.
- Two main differences between CTT+BY+EP and CTS+HKV approaches:
- Criterion for a circular orbit and determination of the orbital angular velocity Ω
- Extrinsic curvature of the t = const hypersurface

The source of discrepancy lies in the extrinsic curvature

CTT+BY+EP definition of circular orbit and Ω lacks of rigor, due to the ad hoc definition of the binding energy. This is unavoidable, due to the intrinsic 3-dimensional character of CTT+BY+EP :

no time in CTT+BY+EP \Rightarrow no well-defined velocity !

On the contrary CTS+HKV is intrinsically 4-dimensional, and its definition of Ω is unambiguous.

However, despite these differences, it turns out that the two ways of determining Ω for circular orbits yield the same result

- for irrotational black holes with the Bowen-York extrinsic curvature (Shibata 2002).
- for a simple analytical model of a spherical shell of collisionless particles (Skoge & Baumgarte 2002 [PRD 66, 107501 (2002)])

 \Rightarrow Main source of discrepancy: the extrinsic curvature

Conclusions and future prospects

- Among the two methods CTT and CTS to solve the constraint equations, CTS is more appropriate to get quasiequilibrium initial data
- The classical Bowen-York extrinsic curvature does not represent well binary black holes in quasiequilibrium orbital motion
- The helical Killing vector approach results in very good agreement with post-Newtonian computations
- Next computational step: relaxing the conformal flatness hypothesis, while keeping the helical symmetry
- Also for future work: implement new inner boundary conditions (instead of the isometry condition), such as apparent horizon boundary [Maxwell, gr-qc/0307117], [Dain, gr-qc/0308009] ⇒ connection with dynamical horizons